

PART B - FEE(S) TRANSMITTAL

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23364 7590 11/14/2006

BACON & THOMAS, PLLC
 625 SLATERS LANE
 FOURTH FLOOR
 ALEXANDRIA, VA 22314

ATTN: EUGENE MAR

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| |
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| (Depositor's name) |
| (Signature) |
| (Date) |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/787,106 02/27/2004 Kun Yul Kim KIMK3009/EM 5070

TITLE OF INVENTION: APPARATUS AND METHOD FOR CONTROLLING TRACKING AND FOCUSING SERVO IN A HOLOGRAPHIC DIGITAL DATA STORAGE SYSTEM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$0 | \$1700 | 02/14/2007 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|-----------------------|----------|----------------|
| LAMB, CHRISTOPHER RAY | 2627 | 369-044110 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Bacon & Thomas, PLLC

2 00000006 10787106

3 1400.00 OP
300.00 OP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

DAEWOO ELECTRONICS Corporation

Seoul, Korea

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

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- ☒ A check is enclosed.
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☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 02-0200 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature _____

Date February 1, 2007

Typed or printed name Eugene Mar

Registration No. 25,893

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: KUN YUL LIM

SERIAL No.: 10/787,106

FILED: February 27, 2004

FOR: APPARATUS AND METHOD FOR CONTROLLING
TRACKING AND FOCUSING SERVO IN A
HOLOGRAPHIC DIGITAL DATA STORAGE
SYSTEM

GROUP ART UNIT: 2627

EXAMINER: Lamb, Christopher R.

ATTY. REFERENCE: KIMK3009/EM

COMMISSIONER OF PATENTS

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Sir:

The below identified communication(s) or document(s) is(are) submitted in the above application or proceeding:

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|---|--------------------------|
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| <input checked="" type="checkbox"/> Check in the Amount of <u>\$1,700</u> | <input type="checkbox"/> |
| <input type="checkbox"/> <u> </u> sheets of Formal Drawings (Figs.) | <input type="checkbox"/> |
| | <input type="checkbox"/> |

☒ Please debit or credit **Deposit Account Number 02-0200** for any deficiency or surplus in connection with this communication.

☐ Small Entity Status is claimed.

☐


23364

CUSTOMER NUMBER

BACON & THOMAS, PLLC
625 Slaters Lane- Fourth Floor
Alexandria, Virginia 22314
(703) 683-0500

Respectfully submitted,

Date: February 1, 2007


Eugene Mar
Attorney for Applicant
Registration Number: 25,893